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FAX TRANSMISSION

DATE: January 30, 2008

PTO IDENTIFIER: Patent Number 6,959,800

Inventor: Richard A. Mazur et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: NIXON PEABODY LLP

John C. Gatz

PHONE: (312) 425-8515

Attorney Dkt. #: 247171-000269USC1

Certificate

FEB 04 2008

of Correction

PAGES (Including Cover Sheet): 35

CONTENTS: Transmitted are the following documents:

1. Request for Certificate of Correction Pursuant to 37 CFR 1.322;
2. Proposed Certificate of Correction
3. Exhibits 1-7

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Docket No.: 247171-000269USC1
(PATENT)

- 1 -

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Dated: January 30, 2008

Signature: Carla Rivera

Carla Rivera

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Richard A. Mazur et al.

Patent No.: 6,959,800

Issued: November 1, 2005

For: METHOD FOR DOCUMENT PROCESSING**REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 CFR § 1.322**

Commissioner for Patents
Office of Patent Publication
P.O. Box 1450
Alexandria, VA 22313-1450
ATTN: Certificate of Correction Branch

Dear Sir:

Upon reviewing the above-identified patent, Patentee noticed a mistake of minor character in the cross-reference section of the specification. The correction of the error does not constitute new matter and does not require reexamination. Thus, a certificate of correction should issue to correct the minor mistake. The minor mistake incurred by the Patentee, therefore, the Patentee authorizes the Commissioner to charge any required fees to the deposit account listed below. The Patentee also noticed continuing data errors incurred by the Patent and Trademark Office that should also be corrected. The continuing data should be corrected to correspond with the continuing data in the specification, column 1, lines 6 – 34, as corrected above. (attached as Exhibit 1).

Summary of Pertinent Communications:

An original filing receipt was mailed to the applicants on August 31, 2000, which contained errors in the applicant(s) section and in the continuing data section. (attached as Exhibit

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- 2 -

2). On September 08, 2000, the applicants requested a corrected filing receipt to correct the inventorship. In response to the applicant's request, a second filing receipt was mailed on May 22, 2001, which still contained errors in the Continuing Data section. (attached as Exhibit 3). On February 19, 2002, applicants faxed the USPTO a request to correct the continuing data on the filing receipt. (attached as Exhibit 4). The USPTO responded to the applicant's request stating "Continuity claimed under 120 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...)." (attached as Exhibit 5). Subsequently, on April 10, 2002, the applicants faxed the USPTO a second request to correct the continuing data on the filing receipt, which properly supplied the continuity relationships. (attached as Exhibit 6). The applicants never received an updated filing receipt nor any communication from the USPTO rejecting applicants request for an updated filing receipt.

Accordingly, the applicants request correction of the following errors:

Error In Patent	Corrections to the Patent	Support in Application filed
Specification Col. 1, Lines 25-29	U.S. patent application Ser. No. 08/864,423 is a continuation-in-part of U.S. patent application Ser. No. 08/800,053, which was issued on Nov. 30, 1999 as U.S. Pat. No. 5,992,601 entitled "Method and Apparatus for Document Identification and Authentication".	See Exhibit 6
Cover page (63)	Continuation of application No. 08/864,423, filed May 28, 1997, now Pat. No. 6,311,819 which is a continuation-in-part of application No. 08/800,053, filed Feb. 14, 1997, now Pat. No. 5,992,601, and a continuation-in-part of application No. 09/126,580, filed July 30, 1998, now Pat. No. 6,351,551, which is a continuation of application No. 08/573,392, filed Dec. 15, 1995, now Pat. No. 5,790,697.	See Exhibit 6 and Page 2 of the application as amended in the Second Preliminary Amendment (attached as Exhibit 7)
Cover page (60)	Provisional application No. 60/038,340, filed Feb. 27, 1997, provisional application No. 60/034,954, filed Jan. 16, 1997, provisional application No. 60/018,563, filed May 29, 1996, and provisional No. 60/011,688, filed Feb. 15, 1996.	See Exhibit 6 and Page 2 of the application as amended in the Second Preliminary Amendment (attached as Exhibit 7)

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- 3 -

Please send the Certificate of Correction to the following address.

Paul R. Kitch
NIXON PEABODY LLP
161 N. Clark Street, 48th Floor
Chicago, IL 60601

No fee is believed to be due. Should any fee be deemed necessary, however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Nixon Peabody LLP Deposit Account No. 50-4181, Order No. 247171-000269USC1. Transmitted herewith is a proposed Certificate of Correction and the Patentee respectfully solicits the granting of the requested Certificate of Correction.

Dated: January 30, 2008

Respectfully submitted,

By 

John C. Gatz

Registration No.: 41,774

NIXON PEABODY LLP

161 N. Clark St., 48th Floor

Chicago, Illinois 60601

(312) 425-3900

(312) 425-3909 (Fax)

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PTO/SB/44 (04-05)

Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1050)**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 2

PATENT NO. : 6,959,800
APPLICATION NO. : 09/611,279
ISSUE DATE : November 1, 2005
INVENTOR(S) : Richard A. Mazur et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification Col. 1, Lines 25-29

CHANGE: "U.S. patent application Ser. No. 08/864,423 further claims the benefit of a continuation-in-part U.S. patent application Ser. No. 08/800,053, which was issued on Nov. 30, 1999 as U.S. Pat. No. 5,992,601 entitled "Method and Apparatus for Document Identification and Authentication".

TO

— U.S. patent application Ser. No. 08/864,423 is a continuation-in-part of U.S. patent application Ser. No. 08/800,053, which was issued on Nov. 30, 1999 as U.S. Pat. No. 5,992,601 entitled "Method and Apparatus for Document Identification and Authentication".

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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On the Cover page

CHANGE: "(63) Continuation of application No. 08/864,423, filed on May 28, 1997."

TO

-- (63) Continuation of application No. 08/864,423, filed May 28, 1997, now Pat. No. 6,311,819 which is a continuation-in-part of application No. 08/800,053, filed Feb. 14, 1997, now Pat. No. 5,992,601, and a continuation-in-part of application No. 09/126,580, filed July 30, 1998, now Pat. No. 6,351,551, which is a continuation of application No. 08/573,392, filed Dec. 15, 1995, now Pat. No. 5,790,697.--

CHANGE: "(60) Provisional application No. 60/038,340, filed on Feb. 27, 1997, provisional application No. 60/034,954, filed on Jan. 16, 1997 and provisional application No. 60/018,563, filed on May 29, 1996."

TO

-- (60) Provisional application No. 60/038,340, filed Feb. 27, 1997, provisional application No. 60/034,954, filed Jan. 16, 1997, provisional application No. 60/018,563, filed May 29, 1996, and provisional No. 60/011,688, filed Feb. 15, 1996.--

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2

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EXHIBIT 1



US006959800B1

(12) **United States Patent**
Mazur et al.

(10) Patent No.: **US 6,959,800 B1**
(45) Date of Patent: ***Nov. 1, 2005**

(54) **METHOD FOR DOCUMENT PROCESSING****FOREIGN PATENT DOCUMENTS**

(75) Inventors: Richard A. Mazur, Naperville, IL (US); Douglas U. Mennie, Barrington, IL (US); Mark C. Munro, Park Ridge, IL (US); Lars R. Stromme, Holmstrand (NO); Bradford T. Graves, Arlington Heights, IL (US); William J. Jones, Kenilworth, IL (US)

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EP	0101115	2/1984
EP	0130824	6/1984
EP	0130825	6/1984
EP	0132329	6/1984
EP	0206675	6/1986

(73) Assignee: Cummins-Allison Corp., Mount Prospect, IL (US)

(Continued)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

OTHER PUBLICATIONS

Mosler Inc. Brochure "The Mosler/Toshiba CF-420", 1989.
JetScan Currency Scanner/Counter, Model 4060, Operator's Manual by Cummins-Allison (Aug. 1991).
Sale of JetScan Currency Scanner/Counter, Model 4060 (Aug. 1991).

(21) Appl. No.: 09/611,279

(Continued)

(22) Filed: Jan. 17, 2001

Related U.S. Application Data

(63) Continuation of application No. 08/864,423, filed on May 28, 1997.

(60) Provisional application No. 60/038,340, filed on Feb. 27, 1997, provisional application No. 60/034,954, filed on Jan. 16, 1997, and provisional application No. 60/018,563, filed on May 29, 1996.

(51) Int. Cl.⁷ G07I 7/04

(52) U.S. Cl. 194/207; 194/206; 377/8; 209/534; 382/135

(58) Field of Search 194/206, 207; 377/8; 209/534; 382/135

(56) **References Cited****U.S. PATENT DOCUMENTS**

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(Continued)

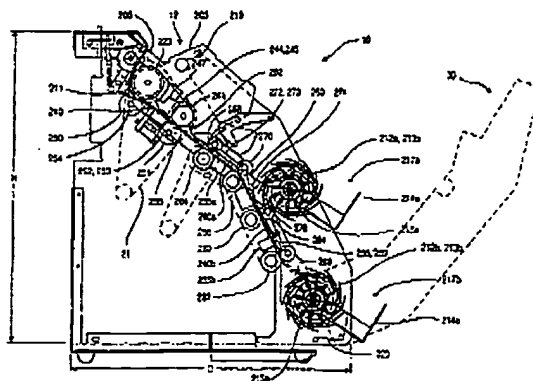
Primary Examiner—Donald P. Walsh
Assistant Examiner—Jeffrey A. Shapiro
(74) Attorney, Agent, or Firm—Jenkins & Gilchrist

(57)

ABSTRACT

A currency evaluation device for receiving a stack of currency bills and rapidly evaluating all the bills in the stack. The device comprises an input receptacle for receiving a stack of bills to be evaluated and a plurality of output receptacles for receiving the bills after they have been evaluated. A transport mechanism transports the bills, one at a time, from the input receptacle to one of the output receptacles along a transport path. A discriminating unit including a detector positioned along the transport path between the input receptacle and the output receptacle evaluates the bills. The discriminating unit counts and determines the denomination of the bills. A means for flagging bills meeting or failing to meet a certain criteria causes the transport mechanism to halt in response to a determination that a bill meets or fails to meet the criteria.

91 Claims, 43 Drawing Sheets



US 6,959,800 B1

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METHOD FOR DOCUMENT PROCESSING

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation of copending U.S. patent application Ser. No. 08/864,423 filed May 28, 1997 entitled "Method and Apparatus for Document Processing", which is hereby incorporated by reference in its entirety.

This application is a continuation-in-part and further claims the benefit of U.S. application Ser. No. 09/126,580, filed Jul. 30, 1998 entitled "Method and Apparatus for Discriminating and Counting Documents", which claims the benefit of U.S. application Ser. No. 08/573,392, which was issued on Aug. 4, 1998 as U.S. Pat. No. 5,790,697 entitled "Method and Apparatus for Discriminating and Counting Documents".

U.S. patent application Ser. No. 08/864,423 claims the benefit of Provisional Patent Application Ser. No. 60/018,563 filed May 29, 1996 entitled "Method and Apparatus for Document Identification and Authentication", 60/034,954 filed Jan. 16, 1997 entitled "Method and Apparatus for Document Processing", and 60/038,340 filed Feb. 27, 1997 entitled "Method and Apparatus for Document Processing."

U.S. patent application Ser. No. 08/864,423 further claims the benefit of a continuation-in-part U.S. patent application Ser. No. 08/800,053, which was issued on Nov. 30, 1999 as U.S. Pat. No. 5,992,601 entitled "Method and Apparatus for Document Identification and Authentication".

U.S. patent application Ser. No. 08/800,053 claims the benefit of Provisional Patent Application Ser. Nos. 60/011,688 filed Feb. 15, 1996, now abandoned, and 60/018,563 filed May 29, 1996.

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates, in general, to document processing. More specifically, the present invention relates to a method and apparatus for document discrimination, authentication, and/or sorting.

2. Background

A variety of techniques and apparatus have been used in automated currency handling systems. Traditionally, these currency handling systems utilized a complex series of belts, pulleys, wheels and rollers to guide a currency bill through a complicated pathway having many twists and turns to pass the currency bill through the discriminating and/or authenticating sensors and to deliver the bills to an assigned output receptacle. These complicated pathways have sometimes led to jams of the currency bills which are difficult to clear.

Therefore, a need exists for a transport mechanism that reduces currency jams and is more accessible when clearing currency jams.

There is also a need for a currency evaluation device that can distinguish bills based on a number of criteria and that is flexible in permitting the operator of the device to specify which criteria are to be used to distinguish bills and how bills meeting or failing to meet various criteria are to be handled. There is also a need for a currency evaluation device having an input/output means that is simply to operate, flexible, and customizable.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a method and apparatus for document transport which reduces cur-

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rency jams and is more accessible when clearing currency jams. Briefly, according to one embodiment, a method and apparatus for transporting a currency bill to be evaluated by a discrimination and/or authentication apparatus is provided.

5 A transport mechanism includes a pair of substantially smooth opposing plates. These plates are substantially free of surface features. The smooth plates comprise a transport plate and a follower plate and define a currency pathway there between. A plurality of transport rolls protrude through
10 apertures in the transport plate so as to frictionally engage the bills and actively advance the bills through the currency pathway. Furthermore, the follower plate is preferably mounted on a hinged module so that it may rotate out and away from the transport plate to allow easy access to the
15 currency pathway.

In one embodiment, a transport mechanism includes a transport plate which defines in a substantially planar transport path between an evaluating mechanism and a plurality of output receptacles. The transport mechanism may include
20 a follower plate that is substantially smooth and without surface features. The follower plate is positioned substantially parallel and in spaced relation to the opposing transport plate so as to define a currency pathway there between.

According to another embodiment, a currency evaluation device for receiving a stack of currency bills and rapidly evaluating all the bills in the stack is provided. The device has an input receptacle for receiving a stack of bills to be evaluated and a number of output receptacles for receiving the bills after the bills have been evaluated. A transport mechanism transports the bills, one at a time, from the input receptacle to one of the output receptacles along a transport path. A discriminating unit evaluates the bills including
25 determining certain information concerning the bills. A control panel includes a touch screen for displaying the information concerning the bills and for receiving operational instructions from a user. A controller coupled to the touch screen and the discriminating unit causes the discriminating unit to operate in a number of modes in response to the operational instructions from the user. In one
30 embodiment, the evaluation device has exactly two output receptacles.

According to another embodiment, a currency evaluation device for receiving a stack of currency bills and rapidly evaluating all the bills in the stack is provided wherein the device flags bills meeting or failing to meet certain criteria. A bill may be flagged, for example, by presenting the bill in one of the output pockets (delivering the bill to one of the pockets and suspending the operation of the device) or by off-sorting the bill to a different output pocket and continuing to process other bills. According to one embodiment the currency evaluation device has two output receptacles for receiving bills after they have been evaluated.

According to another embodiment a currency evaluation device has a routing interface. The routing interface has a data retrieval device such as a touch screen that receives information from a user of the evaluation device specifying into which output receptacle bills are to be delivered based on one or more criteria such as, e.g., a bill being a stranger
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According to another embodiment a currency evaluation device has a flagging control interface. The flagging control interface has a data retrieval device such as a touch screen that receives information from a user of the evaluation device specifying whether the evaluation device should suspend its operation based on the detection of a bill meeting or failing to meet one or more criteria such as, e.g., a bill being a no call bill.
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EXHIBIT 2

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COPY

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/611,279	07/06/2000	3652	4302	47171-00269		173	14

John C Gatz
Jenkins & Gilchrist
Suite 3200
1445 Ross Avenue
Dallas, TX 75202

INTELLECTUAL PROPERTY

SEP 07 2000

JENKENS & GILCHRIST

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Applicant(s)

Robert J. Klein, DesPlaines, IL ;
 Richard A. Mazur, Naperville, IL ;
 Douglas U. Mennie, Barrington, IL ;
 Mark C. Munro, Park Ridge, IL ;
 Heinz W. Schreiter, Skokie, IL ;
 Lars R. Stromme, Fagerdivn, NORWAY;
 Matthew L. Anderson, Salem, WI ;
 Bradford T. Graves, Arlington Heights, IL ;
 William J. Jones, Kenilworth, IL ;

* DOCKETED
Int: KA DT: 9-8-00Action Complete Date: 9-7
Filing Receipt

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/864,423 05/28/1997
 WHICH CLAIMS BENEFIT OF 60/018,563 05/29/1996
 AND CLAIMS BENEFIT OF 60/034,954 01/16/1997
 AND CLAIMS BENEFIT OF 60/038,340 02/27/1997

Foreign Applications

If Required, Foreign Filing License Granted 08/23/2000

Title

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/611,279	07/06/2000	3652	4302	47171-00269		173	14

John C Gatz
Jenkins & Gilchrist
Suite 3200
1445 Ross Avenue
Dallas, TX 75202

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CORRECTED FILING RECEIPT



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Date Mailed: 09/25/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Lars R. Stromme, Fagerlrvn, NORWAY;
Bradford T. Graves, Arlington Heights, IL ;
William J. Jones, Kenilworth, IL ;

* DOCKETED
Int: KH DT: 9-30-00
Action Computer Date: 9-29
Corrected filing Receipt

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/864,423 05/28/1997
WHICH CLAIMS BENEFIT OF 60/018,563 05/29/1996
AND CLAIMS BENEFIT OF 60/034,954 01/16/1997
AND CLAIMS BENEFIT OF 60/038,340 02/27/1997

Foreign Applications

If Required, Foreign Filing License Granted 08/23/2000

Title

METHOD FOR DOCUMENT PROCESSING

Preliminary Class

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OCT 03 2000

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312 425 3909

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194

Data entry by : SMALLWOOD, EAON

Team : OIPE

Date: 09/25/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

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NOT GRANTED

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U.S. Utility Patent Application No. 09/611,279, filed January 17, 2001

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/611,279	01/17/2001	3652	4474	47171- 00269USC1	43	173	14

CONFIRMATION NO. 6737

FILING RECEIPT

John C Gatz
Jenkins & Gilchrist
Suite 3200
1445 Ross Avenue
Dallas, TX 75202

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Applicant(s)

Richard A. Mazur, Naperville, IL;
Douglas U. Mennie, Barrington, IL;
Mark C. Munro, Park Ridge, IL;
Lars R. Stromme, Fagerlivn, NORWAY;
Bradford T. Graves, Arlington Heights, IL;
William J. Jones, Kenilworth, IL;* DOCKETED
Int: UH DT: 5-29-01
Action: Complete Date: 5-29
Filing Receipt

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/864,423 05/28/1997
WHICH CLAIMS BENEFIT OF 60/018,563 05/29/1996
AND CLAIMS BENEFIT OF 60/034,954 01/16/1997
AND CLAIMS BENEFIT OF 60/038,340 02/27/1997

Foreign Applications

FEB 04 2008

If Required, Foreign Filing License Granted 08/23/2000

Projected Publication Date: 08/30/2001

Non-Publication Request: No

Early Publication Request: No

Title

Method for document processing

Preliminary Class

194

Data entry by : KIM, JONATHAN

Team : OIPE

Date: 05/22/2001

-COMM-

FAX - **

DATE FEB-19-200

TIME 17:18

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U.S. Utility Patent Application No. 09/611,279, filed January 17, 2001

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/611,279	01/17/2001	Richard A. Mazur	47171-00269USC1

CONFIRMATION NO. 6737



0C000000007508898

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Jenkins & Gilchrist
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Claims, Fees, Inventors and Continuity

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U.S. Utility Patent Application No. 09/611,279, filed January 17, 2001

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Error	Filing Receipt Data	Corrected Data
Continuing data as claimed by Applicants	THIS APPLICATION IS A CON OF 08/864,423 05/28/1997 WHICH CLAIMS BENEFIT OF 60/018,563 05/29/1996 AND CLAIMS BENEFIT OF 60/034,954 01/16/1997 AND CLAIMS BENEFIT OF 60/038,340 02/27/1997	THIS APPLICATION IS A CIP OF 09/126,580 07/30/1998 PAT 6,351,551, WHICH IS A CON OF 08/573,392 12/15/1995 PAT 5,790,697, AND IS A CON OF 08/864,432 05/28/1997 PAT 6,311,819, WHICH CLAIMS BENEFIT OF 60/018,563 05/29/1996 AND CLAIMS BENEFIT OF 60/034,954 01/16/1997 AND CLAIMS BENEFIT OF 60/038,340 02/27/1997, AND WHICH IS A CIP OF 08/800,053 02/14/1997 PAT 5,992,601, WHICH CLAIMS BENEFIT OF 60/011,688 2/15/1996, AND CLAIMS BENEFIT OF 60/018,563 05/29/96.

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EXHIBIT 7

PATENT

47171-00269

METHOD AND APPARATUS FOR DOCUMENT PROCESSING

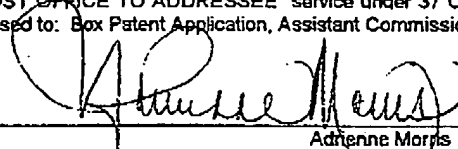
by

Lars R. Stromme**Matthew L. Anderson****Bradford T. Graves****William J. Jones****Robert J. Klein****Richard A. Mazur****Douglas U. Mennie****Mark C. Munro****Heinz W. Schreiter**

EXPRESS MAIL MAILING LABEL

NUMBER: EL566135965USDATE OF DEPOSIT: July 6, 2000

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Adrienne Morris

FEB 04 2008

BACKGROUND OF THE INVENTION

Cross-Reference to Related Applications

This application is a continuation of copending U.S. Patent Application Serial No. 08/864,423 filed May 28, 1997 entitled "Method and Apparatus for Document Processing", which is hereby incorporated by reference in its entirety.

U.S. Patent Application Serial No. 08/864,423 claims the benefit of Provisional Patent Application Serial No. 60/018,563 filed May 29, 1996 entitled "Method and Apparatus for Document Identification and Authentication", 60/034,954 filed January 16, 1997 entitled "Method and Apparatus for Document Processing", and 60/038,340 filed February 27, 1997 entitled "Method and Apparatus for Document Processing."

U.S. Patent Application Serial No. 08/864,423 further claims the benefit of a continuation-in-part U.S. Patent Application Serial No. 08/800,053, which was issued on November 30, 1999 as U.S. Patent No. 5,992,601 entitled "Method and Apparatus for Document Identification and Authentication" and Serial No. 08/573,392, which was issued on August 4, 1998 as U.S. Patent No. 5,790,697 entitled "Method and Apparatus for Discriminating and Counting Documents."

U.S. States Patent Application Serial No. 08/800,053 claims the benefit of Provisional Patent Application Serial Nos. 60/011,688 filed February 15, 1996, now abandoned, and 60/018,563 filed May 29, 1996.

Field of the Invention

The present invention relates, in general, to document processing. More specifically, the present invention relates to a method and apparatus for document discrimination, authentication, and/or sorting.

Background

A variety of techniques and apparatus have been used in automated currency handling systems. Traditionally, these currency handling systems utilized a complex series of belts, pulleys, wheels and rollers to guide a currency bill through a complicated pathway having many twists and turns to pass the currency bill through the discriminating and/or

authenticating sensors and to deliver the bills to an assigned output receptacle. These

JAN 30 2008

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Application of:

Lars R. Stromme et al.

Serial No.: 09/611,279

Filed: July 6, 2000

Title: "Method For Document Processing"

Attorney Docket No. 47171-00269

Group Art Unit: Unknown

Examiner: Unknown

CERTIFICATE OF MAILING

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Adrienne Morris**SECOND PRELIMINARY AMENDMENT**

ASSISTANT COMMISSIONER FOR PATENTS

Washington, DC 20231

Attention: BOX AMENDMENT - NO FEE

Sir:

Please amend the above-identified patent application as follows:

In the Specification

At page 2, line 6, after the sentence ending with "entirety.", please add the following --
This application is a continuation-in-part and further claims the benefit of U.S. Application Serial No. 09/126,580, filed July 30, 1998 entitled "Method and Apparatus for Discriminating and Counting Documents", which claims the benefit of U.S. Application Serial No. 08/573,392, which was issued on August 4, 1998 as U.S. Patent No. 5,790,697 entitled "Method and Apparatus for Discriminating and Counting Documents". --

At page 2, lines 15-17, please delete the following "and Serial No. 08/573,392, which was issued on August 4, 1998 as U.S. Patent No. 5,790,697 entitled "Method and Apparatus for Discriminating and Counting Documents."

At page 2, line 15, after the word "Authentication", please add -- . --.

FEB 04 2008


REMARKS

By this Preliminary Amendment, the specification of the application has been amended to reflect the changes made in the concurrently filed Supplemental Declaration. The Applicants believe that no new matter has been added and that all the claims are in a condition for allowance.

The Commissioner is hereby authorized to charge Deposit Account No. 10-0447 (Order No. 47171-00269) for any additional fees that may be required, except for the issue fee.

Respectfully submitted,

Date: August 25, 2000



John C. Gatz
Reg. No. 41,774
JENKENS & GILCHRIST
1445 Ross Avenue
Suite 3200
Dallas, Texas 75202-2799
(312) 425-3900

Attorney for Applicants